EasyTV: Easing the access of Europeans with disabilities to converging media and content.

D3.3 Remote control with gesture/gaze controls preliminary version

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<td>Description of Work</td>
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<td>LSTM</td>
<td>Long Short-Term Memory</td>
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1. EXECUTIVE SUMMARY

In this document, we present and analyse technical information and specifications regarding the remote control of the TV set based on gesture/gaze information, as described in Task 3.4 of the EasyTV Description of Work (DOW) [1]. More specifically, the gesture/gaze remote control will be integrated in the universal accessible remote control, allowing people with disabilities to easily control the TV set. After taking into consideration the technical requirements and end-users needs, established in T1.1 and T1.2, we propose an initial design of the proposed gesture/gaze remote control system, as it was formed during the first year of the EasyTV project.

The document has been structured in 5 main chapters:

Chapter 2 introduces the scope and purpose of the document, along with its interconnection to other tasks and deliverables.

Chapter 3 deals with the problem of motion capturing, feature extraction and action recognition from people performing body and hand movements. These features can assist a gesture classification system to successfully control the TV set.

Chapter 4 presents state-of-the-art gaze recognition technologies and an example of a gaze sensor that can be utilised in the EasyTV framework.

Chapter 5 presents the initial design and implementation of the gesture control system, along with information on the gestures it can detect and the way it communicates them to the TV set.

Finally, Chapter 6 concludes the document by presenting an overview of the theoretical and technical work performed during the first year of the EasyTV project.
2. INTRODUCTION

This deliverable describes the first version of the gesture/gaze remote control according to the project objectives, presented in the EasyTV DOW, the user requirements, presented in the deliverable D1.1 [2] (User scenario and requirements definition) and the system specifications, defined in D1.2 [3] (EasyTV system requirements specifications).

2.1. Purpose and scope

The purpose of this deliverable is to present a preliminary version of the gesture/gaze remote control that will be employed by people with disabilities in order to control their TV sets. To be able to design such a system, extensive research was performed not only on available hardware setups, but also on which features can be extracted from the performed gestures. Such information is vital for a gesture recognition system in order to reliably identify gestures. Furthermore, gesture recognition systems of TV manufactures were taken into account in order to guide us for the implementation of the proposed initial set of gestures.

The proposed gesture/gaze remote control is part of a universal remote control that will be developed in the framework of the EasyTV project in order to ease the access of people with disabilities to the TV. The gesture/gaze remote control is implemented on a PC in order to take advantage of the processing power of modern PC systems for the processing of video sequences and classification of gestures. As far as the communication between the modules of the EasyTV universal remote control and the TV set is concerned, the HbbTV protocol is adopted in order for the proposed remote control to be suitable for TV sets with new technologies.

2.2. Relation to other deliverables

The gesture/gaze remote control, proposed in this document, is heavily based on deliverables D1.1 and D1.2 that describe the user needs and system specifications. Moreover, a connection with the other modules of the EasyTV platform can be observed in D1.3 [4] (First release of the EasyTV system architecture). The gesture/gaze remote control and the speech remote control that will be defined in D3.3.1 will constitute the universal remote control, proposed in the framework of the EasyTV project. As a result, these two controls will share technology with each other in order to be able to communicate with the TV set as a universal system. Finally, this document describes a preliminary version of the proposed gesture/gaze remote control that will be further refined and improved in the following years of the EasyTV project and it will lead to the final system that will be described in the next deliverable, which is D3.8 (Remote control with gesture/gaze controls final version).
3. GESTURE RECOGNITION

In this chapter, we first present a literature review of motion capturing systems and video processing and classification techniques in the context of human action recognition and sign language classification. Then, we describe our research during the first year of the EasyTV project, in the context of feature extraction from video sequences that can enable us to accurately and robustly identify human actions, signs and gestures. Finally, we present results of applying our proposed methodology in well-known action and sign language recognition datasets, along with ways to extend our work towards gesture classification tasks.

3.1. Motion capturing technologies

Motion capturing systems can be classified in two major categories: marker-based and marker-less. Marker-based systems consist of markers placed in the body of a person. These markers can provide either optical (retroreflective, colour, light emitting markers) or inertial (Micro-Electro-Mechanical systems) information, such as position, velocity and orientation.

The main advantage of such systems is their high accuracy and this is the reason they are usually employed in 3D films and video games. The disadvantages of such systems are their constraints as the person performing actions should wear costume to hold the markers and their high price that renders them inapplicable for everyday usage.

Marker-less motion capturing systems is an economic alternative to the marker-based systems and this is what we are going to use in the context of the EasyTV project. Sensors that belong to this category are RGB cameras that provide just colour information (see Figure 2) and RGB-D sensors that provide both colour and depth information (see Figure 3). RGB-D sensors can usually provide additional information, such as body skeletal joints and face points. Well-known RGB-D sensors that can be employed for gesture recognition in the context of the EasyTV project are Kinect v2 [5], ORBBEC [6] and Intel RealSense [7]. Finally, there are also depth sensors that provide only depth information, such as Camboard Pico Flexx [8]. Such sensors can also be considered for gesture recognition tasks.
3.2. Feature extraction techniques

In this project, we are mainly concerned with the extraction of features for human action and sign language recognition (SLR) as gesture recognition can be considered as a subcategory of these problems. Human action recognition refers to the identification of specific body movements performed by humans and has been widely applied for surveillance, video retrieval and human machine interaction. On the other hand, sign language recognition is a quite different problem from human action recognition as it involves the identification of a structured set of hand gestures with a specific meaning that is employed from hearing impaired people in order to communicate in everyday life. Sign language recognition is a challenging problem due to the fact that sign language features thousands of signs, sometimes differing only by subtle changes in hand motion, shape or position and involving significant finger overlaps and occlusions. Combined also with differences in the signing style between individuals, SLR can be very challenging for current computer vision algorithms. Finally, the unavailability of large sign language datasets and the fact that sign language is not universal but presents significant variations based on the ethnicity of signers pose challenges to the development of an accurate and robust SLR system.

For such tasks, feature extraction techniques can be categorised based on the data acquisition method, resulting in either direct measurement or vision-based approaches. Direct measurement methods rely on motion data acquired by data gloves, sensors or motion capturing systems [9][10]. The extracted motion data can provide accurate tracking of hands, fingers and other body parts, leading to the development of robust recognition methodologies, at the expense of costly setups and obtrusive systems as the movements of a person are severely restricted from wearing the input devices.

On the other hand, vision-based approaches have been traditionally employed in order to extract discriminative spatial and temporal features from Red-Green-Blue (RGB) video sequences. Although unobtrusive, such methodologies present inaccuracies due to hand and finger overlaps. Most vision-based SLR methods attempt to initially track and extract hand regions prior to their
classification to gestures. Hand detection has been achieved by semantic segmentation and skin colour detection as skin colour is usually easy to distinguish [11][12]. However, due to the fact that other body parts (e.g., face and arms) can be erroneously recognised as hands, recent hand detection methods rely also on face detection and subtraction and background subtraction to identify only the moving parts of a scene[13][14]. To achieve accurate and robust hand tracking, especially in cases of occlusions, previous methods employ filtering techniques, such as Kalman and particle filters [14][15].

However, the sensitivity of the RGB data to illumination changes, background clutter and occlusions and the technological advances in depth sensors has led to the introduction of skeletal data (i.e., set of joints in the 3-Dimensional (3D) space) for human action recognition as they have proven to be robust to illumination variations, human scale and viewpoint. Although modern depth sensors can reliably extract 3D joint coordinates, skeleton-based action recognition remains a challenging problem due to variations in the way people perform actions and joint self-occlusions. Several authors in the literature employed raw skeletal data or similar feature representations in an attempt to improve the accuracy of skeleton-based action recognition [16][17][18][19][20]. Other works construct actionlets [21] or gesturelets [22] by capturing the local interactions and kinematic information between skeleton joints respectively. Taking a different approach and based on Linear Dynamical Systems (LDS), which have been widely used in the past for dynamic texture classification [23], Dimitropoulos et al. proposed the representation of skeleton action sequences as clouds of points in a Grassmannian manifold [24]. Lately, Zhang et al. proposed the extraction of several geometric features from skeleton joints [25], while Wang et al. in [26] proposed Joint Trajectory Maps that compactly encode spatio-temporal information of 3D skeleton sequences into multiple 2D images.

Lately, deep learning techniques enabled the extraction of skeletal data from RGB video sequences. One such algorithm and the one that we are employing for the proposed action and sign language recognition methodologies in the framework of the EasyTV project is OpenPose [27]. OpenPose accepts RGB images as input and outputs a set of keypoints for the body, hands and face. Each keypoint detector in OpenPose is a special type of Convolutional Neural Network (CNN) called Convolutional Pose Machine (CPM). CPMs have the ability to learn long-range dependencies among images and multi-part cues, and also, inherit a modular sequential design. These features enable the networks to learn feature representations for both image and spatial context directly from data. In the first stage, the convolutional pose machine predicts part beliefs from only local image evidence, while the convolutional layers in the subsequent stage allow the classifier to freely combine contextual information by picking the most predictive features. OpenPose is capable of computing a total of 135 keypoints (18 body joints, 21 joints per hand and 70 face points).

Figure 4: Detected body, hand and face features for a set of signers using the OpenPose algorithm.
3.3. Review of recognition techniques

In this section, we present a brief review of algorithms that can be employed for action, gesture and sign language recognition. We compare these algorithms and present their advantages and disadvantages with respect to the problem of gesture recognition.

3.3.1. Dynamic time warping

The Dynamic Time Warping (DTW) [28] algorithm is considered one of the earliest approaches to classify signals of varied lengths and it has been extensively used in isolated word speech and gesture recognition. The DTW algorithm finds a template or a prototypical version of each class that characterises all training signals that belong to this class and then uses these templates to find the closest match for a queried signal. The simplest form for a template can be a sequence of feature vectors. In the case of gesture recognition, the template can be a single instance of gesture selected to be typical by some process; for example, by choosing the template which best matches a cohort of training utterances. The total distance between a queried signal and a template could be computed as the sum or the mean of the individual distances between their feature vectors. However, since the lengths of the queried signal and the template may vary, the matching process needs to compensate for length differences and take into account the non-linear nature of the length differences within the gestures. Therefore, any distance (Euclidean, Manhattan etc), which aligns the \( i \)-th point on one time series with the \( i \)-th point on the other will produce a poor similarity score. A non-linear (elastic) alignment produces a more intuitive similarity measure, allowing similar shapes to match even if they are out of phase in the time axis (Figure 5).

As a result, DTW aims at aligning two sequences of feature vectors by warping the time axis iteratively until an optimal match (according to a suitable metric) between the two sequences is found. The aligning process is subsequently described (see Figure 6). The two sequences can be arranged on the sides of a grid, with one on the top and the other up the left hand side. Both sequences start on the bottom left of the grid. Inside each cell a distance measure can be placed, comparing the corresponding elements of the two sequences. To find the best match or alignment between these two sequences one need to find a path through the grid which minimizes the total distance between them. The procedure for computing this overall distance involves finding all possible routes through the grid and for each one of them to compute the overall distance. The overall distance is the minimum of the sum of the distances between the individual elements on the path. It is apparent that for any considerably long sequences the number of possible paths through the grid will be very large.

![Figure 5: Depiction of a linear (left) and non-linear or elastic (right) alignment of two time series.](image-url)
Figure 6: Two time series arranged on the sides of a grid. The path depicted is a possible alignment between the two series.

Nevertheless, there are some constraints that could be applied in order to minimize the number of considered paths. These optimisations or constraints of the DTW algorithm arise from the observations on the nature of acceptable paths through the grid and they are presented in Table 1.

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<th>Description</th>
<th>Optimisation</th>
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<td>Monotonicity</td>
<td>The alignment path does not go back in time index.</td>
<td>Guarantees that features are not repeated in the alignment.</td>
</tr>
<tr>
<td>Continuity</td>
<td>The alignment path does not jump in time index.</td>
<td>Guarantees that the alignment does not omit important features.</td>
</tr>
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### Boundary conditions

- **The alignment path starts at the bottom left and ends at the top right.**

**Diagram:**

![Alignment path](image)

- **Guarantees that the alignment does not consider partially one of the sequences.**

**Diagram:**

![Alignment path](image)

### Warping window

- **A good alignment path is unlikely to wander too far from the diagonal.**

**Diagram:**

![Alignment path](image)

- **Guarantees that the alignment does not try to skip different features and gets stuck at similar features.**

**Diagram:**

![Alignment path](image)

### Slope constraint

- **The alignment path should not be too steep or too shallow.**

**Diagram:**

![Alignment path](image)

- **Prevents that very short parts of the sequences are matched to very long ones.**

**Diagram:**

![Alignment path](image)

### Hidden Markov models

The advantages of the DTW algorithm are its computational efficiency and its ability to allow new classes to be introduced or changed on the fly as the algorithm does not require training. Furthermore, DTW can operate even when a single instance of a class is present. However, DTW is sensitive to the selection of a representative template for a class, which can be hard to find in some cases. Additionally, DTW is not too robust to noisy sequences or outliers.

#### 3.3.2. Hidden Markov models

Different from the sequence matching DTW algorithm, Hidden Markov Models (HMMs) belong to the category of classifiers. In tasks, such as human activity and gesture recognition, HMMs excel as they can accurately classify temporal sequences. Gestures and signs often present a complex underlying temporal structure and models that incorporate hidden structures have proven to be advantageous for recognition tasks. Several existing approaches employ a HMM or a suitable variant (e.g. a factored or coupled state model) to model gestures or signs [29][30][31]. Below, we will briefly introduce HMM and examine their functionality.

Assume that there is a system that can be described using a set of N different states, where random transitions are produced over time, according to a given probability distribution for each state. The state on the system on each moment depends on the state that it was in the previous moments. This kind of stochastic process is called “Markov Model”. Additionally, if the present state of the system cannot be observed (i.e., it could be only measured by an effect that it produces), the system is called “Hidden Markov Model”.

As a result, a HMM is a generative probabilistic model that can be used to generate hidden states from observable data. The main goal of the model is to determine the hidden state sequence
that corresponds to the output sequence of observations \((y_1, y_2, ..., y_t)\). Furthermore, the model should reliably learn its parameters (i.e., state transition and emission probabilities) from the history of observed output sequences. This can be achieved using a special version of an expectation-maximization algorithm, called Baum-Welch algorithm [32]. Figure 7 shows a graphical representation of a HMM.

A HMM classifier is a computationally efficient algorithm that can be trained with just a few training samples. However, a significant limitation of this classifier for gesture recognition tasks is the requirement of conditional independence of observations. In addition, hidden states in a generative model are selected to maximize the likelihood of generating all the examples of a given gesture class, which is not necessarily optimal for discriminating the gesture class against other gestures.

### 3.3.3. Hidden conditional random fields

To overcome the limitations of HMMs, Hidden Conditional Random Fields (HCRFs) were proposed in [33]. The goal of HCRFs is to learn a mapping between a set of observations \((x_1, x_2, ..., x_t)\) and the class label \(y\), while also learning a set of parameters and hidden states \((h_1, h_2, ..., h_t)\). Figure 8 shows a graphical representation of a HCRF.

HCRFs are well-suited to the problem of gesture recognition as they are capable of capturing both spatial dependencies between hidden object parts and temporal dependencies across frames. Furthermore, new variants have also been proposed that can incorporate long range dependencies.
3.3.4. Recurrent neural networks

The outstanding performance of deep learning techniques on several computer vision tasks has led to their adoption for gesture and sign language recognition as well. A specific type of deep networks that deal with temporal signals is the recurrent neural networks. A special type of recurrent neural networks is the Long Short-Term Memory (LSTM) unit. The advantage of a LSTM unit over other temporal classifiers is its ability to capture long temporal dependencies among the input sequences and achieve high accuracy, while also maintaining a small number of parameters with respect to other recurrent or convolutional networks.

LSTMs and other convolutional neural networks (CNNs) were highly employed in the literature for sign language recognition and this is another reason why LSTMs seem fitted for gesture recognition as well. More specifically, Koller et al. proposed a hybrid SLR system based on a convolutional neural network (CNN) and a HMM, where the CNN was employed in order to identify the hand shape and its probabilistic output was then fed to a HMM in order to guide its inference [34]. The same authors subsequently improved their SLR methodology by additionally employing bidirectional recurrent neural networks, in the form of LSTM units [35]. On the other hand, Huang et al. proposed the use of 3D CNNs that can automatically capture both temporal and spatial information from the raw video sequences, without the need for designing features [36].

A LSTM unit is shown in Figure 9 and it is composed of a cell state and input, output and forget gates that are more analytically presented below.

![Figure 9: Diagram of a LSTM unit.](image)

The first step in a LSTM unit is the forget gate that is responsible for removing information from the cell state (Figure 10). The information that is no longer required for the LSTM to understand things or the information that is of less importance is removed via a sigmoid layer. This is required for optimizing the performance of the LSTM network.

![Figure 10: Diagram of the forget gate of a LSTM unit.](image)
The forget gate takes two inputs; the current input \( x_t \) and the hidden state or output \( h_{t-1} \) from the previous unit. The output of the forget gate is given as follows:

\[
f_t = \sigma(W_f \cdot [x_t, h_{t-1}] + b_f)
\]

where \( W_f \) and \( b_f \) are the weight matrix and bias of the forget gate respectively. The output of the forget gate is a vector with values ranging from 0 to 1, corresponding to each number in the cell state. Basically, the sigmoid function is responsible for deciding which values to keep and which to discard. If a ‘0’ is output for a particular value in the cell state, it means that the forget gate wants the cell state to forget that piece of information completely. Similarly, a ‘1’ means that the forget gate wants to remember that entire piece of information.

The next step is to decide what new information we are going to store in the cell state. This has two parts. First, a sigmoid layer called the input gate decides which values we want to update (Figure 11). Afterwards, a hyper-tangent (tanh) layer creates a vector of new candidate values \( \tilde{C}_t \) that could be added to the state.

The input layer is similar to the forget layer as it takes the input \( x_t \) and the hidden state \( h_{t-1} \) from the previous unit and outputs:

\[
i_t = \sigma(W_i \cdot [x_t, h_{t-1}] + b_i)
\]

where \( W_i \) and \( b_i \) are the weight matrix and bias of the input gate respectively. The update of the cell state \( \tilde{C}_t \) is computed as shown below, along with the way the new cell state \( C_t \) is calculated given the cell state \( C_{t-1} \) of the previous LSTM unit and the outputs of the input and forget gates.

\[
\tilde{C}_t = \tanh(W_c \cdot [x_t, h_{t-1}] + b_c)
\]

\[
C_t = f_t \cdot C_{t-1} + i_t \cdot \tilde{C}_t
\]

The part of the LSTM unit that is responsible for updating the cell state is presented in Figure 12. Finally, we need to decide what we are going to output from the LSTM unit. This output will be a filtered version of the cell state and is produced via the output gate (Figure 13). To compute this output, we initially need a sigmoid layer to decide what parts of the cell state should be outputted. Afterwards, we pass the cell state through a hyper-tangent layer in order to push the values to be between −1 and 1 and multiply it by the output of the sigmoid gate, so that we only output the parts we decide to.
The output gate takes as inputs the current input $x_t$ and the hidden state or output $h_{t-1}$ from the previous unit and outputs:

$$o_t = \sigma(W_o \cdot [x_t, h_{t-1}] + b_o)$$

$$h_t = o_t \cdot \tanh(C_t)$$

In the previous set of equations, $W_o$ and $b_o$ are the weight matrix and bias of the output gate respectively, while $o_t$ and $h_t$ are the output of the output gate and the hidden state of the LSTM unit respectively. The hidden states of the LSTM units are gathered and form the feature vector that is passed as input to subsequent deep network modules.

### 3.4. Proposed methodology

In this section, we describe the proposed methodologies in order to tackle the problems of action and sign language recognition. More specifically, we present how certain types of features can be extracted from video sequences and how deep learning can be employed in order to design accurate and robust action and sign language recognition methodologies.

#### 3.4.1. Action recognition

As far as action recognition is concerned, our work is concentrated on the processing and classification of skeletal features using deep learning [37]. The main contributions of our work are: (a) a novel four-stream deep neural network that takes advantage of four different temporal skeleton representations to achieve accurate and robust action recognition results, (b) a novel
Grassmannian Pyramid Descriptor (GPD) that captures dynamics of actions from different temporal levels and (c) the use of a meta-learner, which is a network that exploits meta knowledge from the various streams to improve classification accuracy. The proposed action recognition methodology is presented in Figure 14.

In our methodology, we employ two skeletal spatial features. The first type of spatial features is the 3D joint coordinates that are computed based on a common preprocessing scheme, applied to the raw joint coordinates [20][25]. More specifically, all 3D joint coordinates are initially transformed from the world to a person-centric coordinate system by placing the hip centre at the origin. Afterwards, the body part lengths of all skeletons in a dataset are normalised (without changing joint angles) with respect to the corresponding lengths of a reference skeleton that is randomly chosen from the dataset. Finally, the skeletons are rotated in a way that the ground plane projection of the left to right hip vector is parallel to the global x-axis. Such a preprocessing makes skeletons invariant to the absolute location of the human in the scene, scale-invariant and view-invariant respectively.

The second type of spatial features that are employed is the joint-line distances [25]. Joint-line distances model the distances from each joint to its projections on the lines formed by every other skeleton joint pair. Given three different joints of a skeleton \( J_1, J_2 \) and \( J_3 \in R^3 \), the distance \( d(J_1, J_2 \rightarrow J_3) \) between \( J_1 \) and the line formed by \( J_2 \) and \( J_3 \) is given by employing Heron's formula as follows:

\[
d(J_1, J_2 \rightarrow J_3) = 2\sqrt{s(s-d(J_1, J_2))(s-d(J_2, J_3))(s-d(J_1, J_3))}

d(J_2, J_3)
\]

(1)

where \( d(*,*) \) denotes the distance between two 3D joint coordinates and \( s = 0.5(d(J_1, J_2) + d(J_2, J_3) + d(J_3, J_1)) \). The motivation behind the selection of joint-line distances lies in the fact that they constitute an alternative spatial representation that models the relationship between skeleton joints. As a result, joint-line distances can complement 3D joint coordinates, forming a very descriptive representation that can significantly improve action recognition results. Based on these two spatial features, we propose a four-stream deep neural network, in which the 1st and 3rd streams process the spatial features by directly feeding them to stacked LSTMs in order to derive temporal information, while the 2nd and 4th streams process the GPD features that
are extracted from the spatial features and model the temporal dynamics of these multi-dimensional signals. Afterwards, the GPD features are processed with fully connected (FC) layers in order to enhance their discrimination abilities.

The GPD features are inspired by the LDS theory, which states that the stochastic modelling of both signal dynamics (represented as a time-evolving hidden state process \( x(t) \in \mathbb{R}^{x} \)) and appearance (\( y(t) \in \mathbb{R}^{d} \), where \( d \) is the length of the input signal per frame) is encoded by the following two stochastic processes:

\[
\begin{align*}
    x(t+1) &= Ax(t) + Bv(t) \\
    y(t) &= \bar{y} + Cx(t) + w(t)
\end{align*}
\]

In Eqs. (2) and (3), \( A \in \mathbb{R}^{nxn} \) is the hidden state transition matrix, while \( C \in \mathbb{R}^{dxn} \) represents the mapping of the hidden state to the output of the system. The quantities \( w(t) \sim N(0,R) \) and \( Bv(t) \sim N(0,Q) \) are the measurement and process noise respectively, while \( \bar{y} \in \mathbb{R}^{d} \) is the mean value of the observed data. The LDS descriptor \( MLDS = (A,C) \) contains both the appearance information of the observed data modelled by \( C \) and its dynamics that are represented by \( A \).

Dimitropoulos et al. [24] proposed a higher-order LDS, where a temporal sequence is split in segments, the LDS descriptor of each segment is mapped to a point in the Grassmannian manifold and these points are then clustered to form a Histogram of Grassmannian points (HoGP). The proposed GPD is an extension of the HoGP descriptors as it consists of three levels, where in each subsequent level both the temporal sequence and the window size that splits the sequence in segments are halved (see Figure 15). Individual HoGP descriptors are formed for all seven Grassmannian manifolds before these descriptors are concatenated into a large GPD representation. The motivation behind GPDs is the construction of a temporal representation with the ability to capture dynamics of a multi-dimensional signal (i.e., 3D joint coordinates and joint-line distances in this case) in different temporal resolutions and of different segments. As a result, a temporal sequence can be represented both in coarser levels, achieving robustness to noise, and in finer levels, paying more attention to details. Moreover, the proposed GPD representation can effectively handle temporal scale variations.

![Figure 15: Construction of GPD representation.](image-url)
The processed skeletal and GPD features are then fed to softmax classifiers in order to derive probabilities for each class, before these probabilities are fused (i.e., averaged) to get an overall prediction. The motivation behind the proposed network is the construction of four different temporal representations of the same skeleton sequence. Given that a single temporal representation may not be descriptive enough for each tested dataset, the use of four complementary temporal representations within a deep network that can weigh them accordingly can assist in improving action recognition results both in the same and across different datasets. Finally, a meta-learner (see dotted outline in Figure 14) is employed that concatenates the temporal features computed from the four streams of the proposed deep network and processes them in order to derive even more discriminative features. These features are then fed to another softmax classifier before the predictions from the meta-learner and the fusion of the four streams are averaged to give the final prediction. Different from previous uses of meta-learner in literature [38], we apply the meta-learner on the features and not the predictions of the deep model. The motivation behind the use of a meta-learner is the fact that a classifier introduces inductive bias, meaning that the classifier’s assumptions about a problem and the data can make it effective only on similar types of problems. Although this work deals specifically with skeleton-based action recognition, the variations in skeleton acquisition procedures, number of joints and types of actions introduced by the different datasets can significantly affect classifiers rendering them unable to perform optimally across all datasets. Furthermore, features should be weighted differently when applied on different datasets as their contribution to the action recognition task usually varies depending on the current set of actions that needs to be identified. Thus, the proposed meta-learner is integrated in the proposed deep model, assisting in its optimisation during the training phase. In this way, we enhance the learning procedure and improve the discrimination and generalisation ability of the proposed action recognition methodology.

3.4.2. Sign language recognition

As far as sign language recognition is concerned, our initial work attempts to bridge the gap between direct measurement and vision-based approaches, thus taking advantage of both methods and overcoming their limitations. More specifically, our proposed SLR methodology is based on the processing of video sequences in order to extract accurate body and hand skeletal data that will then be employed for the classification of signs (Figure 16). As a result, our proposed SLR methodology is unobtrusive as it is based only on video sequences without the need for data gloves or other sensors that limit the movements of signers and accurate since it relies on highly discriminative skeletal data.

![Figure 16: Proposed SLR methodology [39]](image)

The initial processing of the video sequences for the extraction of skeletal data is based on the algorithm developed by [27][40] and known as OpenPose, while their further analysis and classification is based on a proposed robust deep learning architecture, similar to the one
employed in the action recognition problem. More specifically, a pretrained on ImageNet VGG-19 network [41] up to conv4_4 is employed as feature extractor for hand skeleton detection, while the first 10 layers of the same network are employed for body skeleton detection. The outputs of the body and hand skeleton detection networks are 18 body and 21 hand 2D joints, accompanied by confidence scores. As the hand skeleton detector requires a bounding box around the hand, the wrist and elbow positions, computed from the body skeleton detector are employed in order to get an approximate position of the hand location and generate a bounding box.

In our proposed SLR methodology, we employ 12 out of the 18 extracted body skeleton joints as shown in Figure 17. This is due to the fact that i) the signers of a sign language dataset are usually sited and thus the leg skeleton joints are not visible and ii) the leg joints do not provide any valuable information for SLR tasks. Although the employed body skeleton detector produces coordinates for non-visible joints as well, their confidence score is low and thus they are deemed inappropriate by our methodology for robust classification. On the other hand, all hand joints are considered although some of these joints may be occluded by other parts of the hand and thus their confidence scores are low. Another problem that has to be dealt with in the context of sign classification is the fact that some of the gesture classes are signed with the right hand, while others are signed with both hands. To overcome this problem, we consider only the right hand joints for our proposed SLR system. Finally, there are also instances, where the hand skeleton detector is not able to recover the joints of the right hand in some of the frames of a video sequence. In such occasions, we employ the hand joint coordinates of the previous frames in order to fill the missing information.

Before introducing the skeletal features to the proposed skeleton classification network, a preprocessing is required. More specifically, all 2D joint coordinates are initially transformed from the image to a local coordinate system by placing the neck of the body skeleton and wrist of the hand skeleton at the origin (see red colour joints in Figure 17). The purpose of this preprocessing is to make skeletal data invariant to the absolute location of the human in the scene. The skeleton classification network of the proposed SLR methodology is based on two types of spatial features (i.e. relative joint coordinates and joint-line distances of right hand and body skeletons) and a type
of temporal features (GPDs derived from joint coordinates and joint-line distances of right hand and body skeletons). As a result, body and hand joint coordinates and joint-line distances form a four-stream deep neural network that consists of stacked LSTM layers, having as a task to produce descriptive temporal information from the spatial features. The GPD representations form four additional streams that are processed with FC layers in order to derive more discriminative features. The resulting eight streams are finally fed to softmax layers so as each stream produces its own probabilities of a given video sequence to belong to a certain class. These probabilities are averaged and a new probability per class is produced taking into consideration all streams of the proposed skeleton classification network. A meta-learner is additionally employed (see dotted outline in Figure 16), as is the case with the proposed action recognition methodology, in order to further improve the network’s accuracy by combining the eight different streams appropriately and producing more discriminative features. In this way, we enhance the learning procedure and improve the discrimination and generalisation ability of the proposed SLR methodology. The probabilities per class computed by the meta-learner are fused (i.e., averaged) with the average class probabilities of the rest of the skeleton classification network, leading to the selection of the most probable class for a given video sequence.

In order to further improve the accuracy and robustness of a SLR methodology, we extended our previous methodology by adding, apart from body and hand skeletal data, image, optical flow and face features [42]. Furthermore, we investigated alternative fusion schemes in order to identify the optimal one that allows for the reliable detection and classification of signs. The new proposed SLR methodology is depicted in Figure 18 and is analysed in detail below.

![Proposed SLR methodology](image)

**Figure 18: Proposed SLR methodology [42]. Each data stream is shown with a different colour.**

The proposed methodology relies on the extraction of video (i.e., image and optical flow) and skeletal (i.e., body, hand and face) features from video sequences. To derive video features, we employ the VGG-16 network [43], pre-trained on ImageNet on both the raw video sequences (i.e., image features) and the optical flow images (i.e., optical flow features). In order to obtain the optical flow images, we employ the well-known and accurate optical flow deep network, FlowNet2 [44]. Regarding the skeletal features, we employ the OpenPose algorithm [27], as in our previous methodology. OpenPose is a deep network capable of producing not only hand and body skeleton joints, but also face points by processing raw videos. The positions of the provided by OpenPose skeletal data are presented in Figure 19.
Figure 19: Body and hand skeleton joints and face points extracted from OpenPose [27]. We denote with red zeros the joints chosen as origins of the local coordinate systems and with red crosses the joints that are not taken into account in our proposed SLR method.

The output of OpenPose is 18 body and 21 hand 2D joints and 69 2D face points. Subsequently, we discard 6 body joints, as in our previous method, because firstly a signer is usually sited and thus the leg joints are not visible and secondly the leg joints do not participate in the signing process and thus they do not carry any valuable information. Furthermore, only the right hand skeleton joints are extracted as the right hand is the main signing hand in most tested datasets, although there are signs executed by both hands. Finally, before employing the skeletal data, we normalise their positions by transforming them from image to local coordinate systems. The origins of the local coordinate systems are chosen to be the neck, wrist and upper nose point for the body, hand and face skeleton, respectively (see Figure 19). The purpose of this transformation is to make the skeletal data invariant to the absolute location of the signer in the scene.

As with all previous methodologies presented in this deliverable, we also compute another type of spatial features, namely joint-line distances by employing Eq. (1). We found that joint-line distances can complement the other feature representations, forming an additional descriptive spatial representation that models the relationship between joints. However, we do not compute joint-line distances for the face points as the number of computed face points is quite large, thus leading to an enormous amount of face joint-line distances (i.e., over 150k distances). As a result, the computational complexity for the processing and classification of such enormous vectors would be really high. Therefore, seven data streams are created from the processing of the raw video sequences. These streams are fed to stacked LSTMs, which are several LSTM units put one after the other. These units are individually optimised to achieve best performance for the SLR problem at hand. A meta-learner is also employed, as in the previous methodologies, and concatenates the features computed from the stacked LSTMs before processing them a bit further to derive even more powerful and discriminative features by using a FC layer. In this way, we enhance the learning procedure and improve the discrimination and generalisation ability of the proposed SLR system. The seven data streams, along with the meta-learner stream, form a set of eight classifiers that are then fed to softmax layers so as each of these classifiers produces its own probabilities that a given video sequence belong to a certain class.

To fuse the aforementioned probabilities, in our initial SLR methodology [39], we proposed the averaging of the data streams, the computation of an overall probability and then again, the averaging of this probability with the probability of the meta-learner in order to obtain the final probability per class. In the extended SLR method [42], we not only employ the aforementioned averaging fusion scheme, which we name AV in short notation, but we also investigate other fusion schemes so as to find the optimal way to combine the eight streams and improve the performance of the proposed SLR methodology.

To this end, we test majority voting (MV), which is a well-known technique that accepts as class of a tested video sequence, the one with the most votes from the employed classifiers. Furthermore, inspired by the work of [23], we employ Dynamic Score Combination (DSC) [45][46] and Particle
Swarm Optimisation (PSO) [47]. DSC attempts to combine the individual probabilities in a way that the combined probability distribution exhibits a larger separation than the probability distribution produced by the individual classifiers. Since DSC is employed in two-class optimisation problems and our problem is multi-class, we had to adapt DSC slightly so that each class is compared against all other classes. Given the probability of a classifier for a single class $p_i$, with $i = 1...8$, the overall probability based on DSC is given by:

$$p_{DSC} = (1 - \beta)\min\{p_i\} + \beta \max\{p_i\}$$

where $\beta$ is the combination weight, defined by the mean rule:

$$\beta = \frac{1}{m} \left( \sum_{i=1}^{m} p_i \right)$$

and $m$ is equal to the number of classifiers (i.e., $m = 8$ in our case). Another fusion scheme is the PSO, which is a global optimisation algorithm, motivated by social behaviour of organisms such as bird flocking and fish schooling. In this context, the overall probability of a class is given by the weighted aggregation of the individual probabilities as:

$$p_{PSO} = \sum_{i=1}^{m} w_i p_i$$

The PSO algorithm considers each single solution $w_i$, with $i = 1...8$, as a particle in the search space and associates this particle with a fitness value and velocity, which direct the movement of the particle. In each iteration, the algorithm tries to improve a candidate solution with regard to a given measure of quality (i.e., the fitness function to be optimised), while the particles move in the problem space by following the current optimum particle. In our case, the fitness function is the accuracy of a solution $w_i$ when applied on the training set of a given dataset. Finally, we propose another fusion scheme that we call Deep Weight Averaging (DWA). This scheme attempts to optimise a set of weights, exactly like in the previous equation, but instead of a PSO algorithm, a deep network is employed that accepts as inputs the individual probabilities and learns a set of weights that can optimise the overall probability.

3.5. Results

In this section, we present and analyse the results of employing our methodologies in benchmark action and sign language recognition datasets. Furthermore, we compare the performance of our proposed methodologies with other state-of-the-art methods and draw conclusions.

3.5.1. Action recognition

In the context of action recognition, four datasets are employed for the evaluation of our proposed methodology. The selection of these datasets is based on their different characteristics (i.e., types of actions, number of joints, etc.) that pose challenges to a general action recognition method. Furthermore, the small size of two of these datasets introduces difficulties to the training of a deep neural network that usually requires an abundance of training samples. The tested datasets and their characteristics are shown below:

**UT-Kinect dataset [19]:** This dataset consists of 10 actions performed twice by 10 different subjects. Each skeleton consists of 20 joints. For the evaluation of this dataset, we follow the cross-subject test setting of [20], in which 10 folds are created, where half of the subjects are used for training and the remaining half for testing.
Florence3D dataset [16]: This dataset consists of 9 actions performed two or three times by 10 different subjects. Each skeleton consists of 15 joints. For the dataset evaluation, we follow the cross-subject test setting of [20], in which 10 folds are created, where half of the subjects are used for training and the remaining half for testing.

G3D dataset [48]: This dataset consists of 20 actions performed three times by 10 different subjects. Each skeleton consists of 20 joints. For the evaluation of this dataset, we follow the protocol of [24], in which the first instance of each action per subject is used for training and the other two instances are used for testing.

MSRC-12 dataset [49]: This large dataset consists of 30 actions performed by 12 subjects. Each skeleton consists of 20 joints. For the dataset evaluation, we follow two protocols; a cross-subject protocol [26], where the odd subjects are used for training and the even subjects for testing and a modality-based “leave-persons-out” protocol [22], in which all but one subjects are used for training and the remaining subject for testing for each modality (i.e., video, image, text, video-text, image-text). The ground truth annotation of this dataset is based on [17].

The skeleton sequences of all datasets are processed so that they are composed of 64 frames either by removing intermediate frames in the case of larger sequences or by adding interpolated intermediate frames in the case of smaller sequences. The parameters that affect our proposed action recognition methodology (i.e., size of LSTM and FC layers, dropout percentage, learning rate, etc.) are determined after experimentation on the UT-Kinect dataset and kept fixed for the other datasets. In this way, we want to point out the advantages of the proposed features and the meta-learner on the performance of our methodology, no matter which dataset is employed. More specifically, the two-layer LSTMs consist of 1024 and 256 neurons and dropout/recurrent dropout equal to 0.1 and 0.2 respectively. Furthermore, the fully connected layers (FCs) that are fed with the GPDs consist of 512 and 128 neurons respectively, while the FC layer of the meta-learner consists of 128 neurons. The window size for the computation of GPDs is halved in each subsequent level from 16 to 4 frames. Finally, the network is implemented in Keras-Tensorflow framework and trained using the Adam optimiser with batch size of 32 and learning rate equal to 0.0001.

Our proposed methodology is compared with 15 state-of-the-art action recognition methods across four datasets. Table 2 and Table 3 evaluate the performance of our methodology on the large MSRC-12 dataset. It can be observed that our method outperforms all other state-of-the-art methods in both evaluation settings and in all modalities, achieving a significant boost on the accuracy. More specifically, our methodology improves the state-of-the-art results by 1.53% and 3.4% when the cross-subject and modality-based “leave-persons-out” protocol are employed respectively.

<table>
<thead>
<tr>
<th>Method</th>
<th>Accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>ConvNet+JTM [26]</td>
<td>93.12%</td>
</tr>
<tr>
<td>Ker-RP [49]</td>
<td>92.3%</td>
</tr>
<tr>
<td>Cov3DJ [17]</td>
<td>91.7%</td>
</tr>
<tr>
<td>ELC-KSVD [18]</td>
<td>90.22%</td>
</tr>
<tr>
<td>Proposed [37]</td>
<td>94.65%</td>
</tr>
</tbody>
</table>

Table 2: Classification accuracy on MSRC-12 using cross-subject protocol [26].
Table 3: Classification accuracy on MSRC-12 using “leave-persons-out” protocol [22].

<table>
<thead>
<tr>
<th>Modality</th>
<th>Method</th>
<th>Sharaf et al. [51]</th>
<th>Meshry et al. [52]</th>
<th>Patrona et al. [22]</th>
<th>Proposed [37]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Video</td>
<td>0.669 ± 0.082</td>
<td>0.895 ± 0.068</td>
<td>0.927 ± 0.009</td>
<td>0.969 ± 0.069</td>
<td></td>
</tr>
<tr>
<td>Image</td>
<td>0.598 ± 0.082</td>
<td>0.858 ± 0.086</td>
<td>0.894 ± 0.010</td>
<td>0.944 ± 0.091</td>
<td></td>
</tr>
<tr>
<td>Text</td>
<td>0.558 ± 0.092</td>
<td>0.788 ± 0.139</td>
<td>0.851 ± 0.012</td>
<td>0.871 ± 0.165</td>
<td></td>
</tr>
<tr>
<td>Video-Text</td>
<td>0.684 ± 0.074</td>
<td>0.921 ± 0.126</td>
<td>0.983 ± 0.008</td>
<td>0.992 ± 0.024</td>
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</tr>
<tr>
<td>Image-Text</td>
<td>0.687 ± 0.099</td>
<td>0.894 ± 0.085</td>
<td>0.905 ± 0.007</td>
<td>0.956 ± 0.089</td>
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<tr>
<td>Overall</td>
<td>0.639</td>
<td>0.871</td>
<td>0.912</td>
<td>0.946</td>
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</tbody>
</table>

Similar performance improvement is noticed for the other tested datasets as well, although their small sizes pose challenges to the accurate training of our proposed deep neural network. From Table 4 and Table 5, it can be observed that our proposed action recognition methodology outperforms the Lie Group method [20] by 0.61% and 0.24% on the UT-Kinect and Florence3D datasets respectively. Moreover, Table 6 shows that our proposed methodology outperforms the Sh-LDS-HoGP method and other classification approaches by at least 1.63%, meaning that the proposed features are more descriptive of the underlying actions of the G3D dataset than the HoGP features. The superb performance of the proposed methodology across all tested datasets reveals the splendid ability of the meta-learner to weigh the different features in a way that makes our method achieve similar performance irrespective of the tested dataset. At this point, it is worth noting that the hyper-parameters of the proposed deep network are kept fixed after their optimisation with respect to the UT-Kinect dataset. As a result, we can conclude that the proposed methodology generalises well on other datasets without requiring additional hyper-parameter tuning.

Table 4: Classification accuracy on UT-Kinect dataset.

<table>
<thead>
<tr>
<th>Method</th>
<th>Accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lie Group [20]</td>
<td>97.08%</td>
</tr>
<tr>
<td>Histogram of 3D joints [19]</td>
<td>90.92%</td>
</tr>
<tr>
<td>Random forests [53]</td>
<td>87.9%</td>
</tr>
<tr>
<td>Proposed [37]</td>
<td>97.69%</td>
</tr>
</tbody>
</table>

Table 5: Classification accuracy on Florence3D dataset.

<table>
<thead>
<tr>
<th>Method</th>
<th>Accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lie Group [20]</td>
<td>90.88%</td>
</tr>
<tr>
<td>Multi-Part Bag-of-Poses [16]</td>
<td>82.00%</td>
</tr>
<tr>
<td>Proposed [37]</td>
<td>91.12%</td>
</tr>
</tbody>
</table>
Moreover, we analyse the effect of our contributions on the classification accuracy of our proposed action recognition methodology on the UT-Kinect dataset. From studying Table 7, we can observe the huge boost on the classification accuracy of the proposed methodology when the novel GPDs are employed. More specifically, an improvement of 18.6% is observed when the HoGP features are substituted with the GPDs extracted from the 3D joint coordinates. A similar improvement is noticed in the case of GPDs extracted from joint-line distances. This means that the proposed GPDs are successful in their task of enhancing the discrimination ability of the proposed deep network. Finally, the introduction of the meta-learner in the proposed deep network leads to a better exploitation of the meta knowledge derived from the four network streams and improves the classification accuracy of the proposed methodology by almost 1.35%.

### Table 7: Experimentation with proposed contributions on UT-Kinect dataset.

<table>
<thead>
<tr>
<th>Contributions</th>
<th>Accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>HoGP [24] from 3D joint coordinates</td>
<td>68.55%</td>
</tr>
<tr>
<td>GPD from 3D joint coordinates</td>
<td>81.31%</td>
</tr>
<tr>
<td>HoGP [24] from joint-line distances</td>
<td>60.60%</td>
</tr>
<tr>
<td>GPD from joint-line distances</td>
<td>78.80%</td>
</tr>
<tr>
<td>Proposed [37] without meta-learner</td>
<td>96.38%</td>
</tr>
<tr>
<td>Proposed [37] with meta-learner</td>
<td>97.69%</td>
</tr>
</tbody>
</table>

#### 3.5.2. Sign language recognition

In the context of sign language recognition, two datasets are employed for the evaluation of our proposed methodologies, both the initial version [39] and the extended one [42]. The tested datasets and their characteristics are shown below:

- **LSA64 dataset [31]:** This dataset is a large Argentinian sign language dataset that consists of 10 subjects, executing 5 repetitions of a total of 64 different types of signs. As a result, the LSA64 dataset comprises 3200 videos of different length (i.e., number of frames). For the experimental evaluation of the proposed SLR methodologies, all video sequences are processed so that they are composed of 48 frames each. This is achieved by employing a spline interpolation technique among the given frames of a video sequence. The experimental setup for the LSA64 dataset is based on [54]. More specifically, the dataset is split randomly in a training set consisting of 80% of
all samples and a test set consisting of the remaining 20% of the samples. This procedure is repeated 5 times, where in each iteration, a different split of the dataset is performed.

**RWTH-PHOENIX dataset [55]:** This dataset was basically created for continuous SLR. However, there is a part of the dataset, called Signer03 Cut-out Gloss Recognition that allows for experiments in the context of isolated SLR. We take advantage of this setup, but we process the dataset by discarding a few samples in order to be more suitable for deep learning training. More specifically, we discard classes with fewer than 10 samples and we also discard samples from classes with over 50 samples. Furthermore, we process all video sequences in order to consist of 10 frames each. As a result, the final processed dataset that we employ consists of 50 classes with 10-50 samples per class and 1297 and 238 training and test video sequences respectively. The video sequences of the RWTH-PHOENIX dataset are already split in training and test sets. In our experimental setup, we repeat the training of our proposed SLR methodologies for 5 times, where the weights of the deep network are randomly re-initialised after each repetition.

The reason behind the selection of these datasets lies in their special characteristics for a deep learning training. The LSA64 dataset is a large and balanced sign language dataset with several frames per video sequence and thus it is suitable for a deep learning framework. By utilising this dataset, we want to unravel the full potential of a deep network. On the other hand, despite our changes, the second dataset remains a highly unbalanced dataset with few frames per video sequence and cases where some of these frames are blurry. As a result, the second dataset is quite challenging for a deep learning algorithm and by using it we want to observe how well our proposed SLR system can cope with problematic datasets.

The optimisation of the hyper-parameters that affect the performance of the proposed SLR methodologies is performed after experimentation on the training sets of the LSA64 and RWTH-PHOENIX datasets individually. These hyper-parameters define the size and number of stacked LSTM units, size of the FC layer, dropout percentage, batch size and learning rate. More specifically, one- or two-layer LSTMs are considered, consisting of 128, 256, 512 or 1024 neurons, while the dropout percentage is in the range [0.0-0.5]. Similarly, the size of the FC layer is selected after experimentation among the values of 128, 256, 512 and 1024. These hyper-parameters vary significantly based on the features fed on each stream and the dataset. Furthermore, for the image and optical flow features, we get the output of the last layer of the VGG-16 network, which is a 1024-element vector. Finally, the network is implemented in Keras-Tensorflow framework and trained using the Adam optimiser with batch size of 32 and learning rate equal to 0.0001.

Our proposed methodologies are assessed on the two previously mentioned datasets and compared against three state-of-the-art SLR methods. Furthermore, the contributions of the various employed features to the performance of the proposed SLR methodologies are evaluated. Table 8 presents the contributions of the features employed from our initial SLR methodology [39] on its performance on the LSA64 dataset.

<table>
<thead>
<tr>
<th>Method</th>
<th>Accuracy(Mean ± Std)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Body features</td>
<td>93.91 ± 1.24</td>
</tr>
<tr>
<td>Hand features</td>
<td>91.64 ± 1.01</td>
</tr>
<tr>
<td>Deep Network without meta-learner</td>
<td>97.16 ± 0.57</td>
</tr>
<tr>
<td>Proposed Deep Network [39]</td>
<td>98.09 ± 0.59</td>
</tr>
</tbody>
</table>

From Table 8, a few conclusions can be drawn. Firstly, the body features constitute a slightly better representation than the hand features for sign language recognition since they achieve a 2.27% increase in sign language recognition on the LSA64 dataset. This is attributed to the fact that the
body joints are more reliably and robustly detected than the hand joints. Accurate hand joint detection suffers from occlusions and overlaps between the fingers and as a result, no detector can reliably infer the locations of non-visible joints. This can also be observed by the low confidence scores the employed hand skeleton detector produces. However, the employment of both hand and body skeletal features is beneficial for the SLR task. Hand skeletal joints contain valuable knowledge that can complement the information body skeletal joints provide and therefore, their combined use gives a boost to the performance of our initial proposed SLR methodology as shown by the increase of 3.25% in the accuracy achieved on LSA64 dataset. It is also worth mentioning that although our initial proposed SLR methodology does not employ any information from the left hand, it manages to successfully classify both one-handed and two-handed signs of the LSA64 dataset, demonstrating the discrimination power of the employed features.

Moreover, the use of a meta-learner is beneficial to the performance of our initial proposed SLR methodology. This can be attributed to the construction of highly discriminative features by the employed meta-learner based on the corresponding features each data stream produces. In this way, the meta-learner exploits the derived meta-knowledge, enhances the learning procedure and improves the discrimination and generalisation ability of the initial proposed SLR methodology [39].

Next, we extend the initial SLR methodology and propose a new SLR methodology [42] by adding additional image and optical flow features and investigating several fusion schemes. In Table 9, we present the experimental evaluation of the individual feature representations, the meta-learner and the proposed fusion schemes in the LSA64 and RWTH-PHOENIX datasets in order to identify the optimal way of combining the information from the different data streams and the meta-learner.

<table>
<thead>
<tr>
<th>Feature</th>
<th>Dataset results (mean ± std)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>LSA64</td>
</tr>
<tr>
<td>Image</td>
<td>99.37 ± 0.25</td>
</tr>
<tr>
<td>Optical flow</td>
<td>98.81 ± 0.49</td>
</tr>
<tr>
<td>Body skeleton</td>
<td>91.06 ± 1.09</td>
</tr>
<tr>
<td>Body joint-line distances</td>
<td>93.34 ± 2.23</td>
</tr>
<tr>
<td>Hand skeleton</td>
<td>85.88 ± 1.48</td>
</tr>
<tr>
<td>Hand joint-line distances</td>
<td>95.19 ± 0.36</td>
</tr>
<tr>
<td>Face</td>
<td>18.22 ± 1.54</td>
</tr>
<tr>
<td>Meta-learner</td>
<td>97.94 ± 1.03</td>
</tr>
<tr>
<td>Fusion</td>
<td></td>
</tr>
<tr>
<td>AV</td>
<td>99.19 ± 0.47</td>
</tr>
<tr>
<td>MV</td>
<td>99.81 ± 0.17</td>
</tr>
<tr>
<td>DSC</td>
<td>99.84 ± 0.19</td>
</tr>
<tr>
<td>PSO</td>
<td>99.8 ± 0.06</td>
</tr>
<tr>
<td>DWA</td>
<td>99.72 ± 0.26</td>
</tr>
</tbody>
</table>

From Table 9, it can be deduced that the most discriminative features are the image and the optical flow features, revealing the power of the pre-trained VGG-16 network. Furthermore, the joint-line distances seem to constitute more powerful representations than the raw skeleton joints, thus justifying our choice to employ them for our proposed SLR methodology. On the other hand, it can be observed that the face features perform poorly in the SLR task. This is something to be
expected as the face features alone are not adequate enough to differentiate signs. Their purpose is mostly complementary in order to enhance the performance of other more descriptive feature representations, such as hand and body skeletal data. Finally, the meta-learner is successful in its task of combining the various data streams in an attempt to produce even more powerful features. This can be more clearly observed in the RWTH-PHOENIX dataset, where the features that the meta-learner provides outperform all individual feature representations.

The evaluation of the proposed fusion schemes cannot give a clear view of the optimal one. From Table 9, one can observe that the AV fusion scheme that was proposed in [39] under-performs with respect to the other fusion schemes. On the other hand, MV has the limitation that it does not take into account the accuracy of the individual classifiers. As a result, although it performs quite well in the LSA64 dataset because all classifiers are really accurate, it performs relatively poorly in the RWTH-PHOENIX dataset, where all classifiers have mediocre performance. The DSC fusion scheme performs optimally in the LSA64 dataset, while our proposed DWA method performs optimally in the RWTH-PHOENIX dataset. This shows the power of deep learning in not only producing discriminative features, but also weighing features appropriately in order to achieve improved results. It is also worth noting that the PSO algorithm is quite sensitive to its initialisation and therefore, we executed it 5 times and obtained its mean accuracy.

Additionally, both our initial and extended SLR methodologies are compared with the state-of-the-art SLR methods presented in [54]. In [54], the authors proposed a SLR system based on the output of two classifiers, one for each hand. The classifier for each hand receives as input a sequence of cropped hand regions and normalised hand positions and employs three sub-classifiers that each use position, movement and hand-shape information. The outputs of these sub-classifiers are merged to a final probability, stating in which class a given hand gesture sequence belongs to. The authors developed their sub-classifiers in a way to be sequence agnostic, meaning that they do not rely much on the correct sequence of the hand gestures and they called their method ALL. Furthermore, they employed two more variants of their method, one of which employs HMMs with Gaussian Mixture Models output probabilities (ALL-HMM) and the other transforms their features to binary ones and then employs one-versus-all multi-class Support Vector Machines (ALL-BF-SVM). Table 10 compares the performance of our SLR methodologies with the SLR methods, proposed in [54], on the LSA64 dataset.

<table>
<thead>
<tr>
<th>Method</th>
<th>Accuracy (Mean ± Std)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALL-BF-SVM [54]</td>
<td>95.08 ± 0.69</td>
</tr>
<tr>
<td>ALL (sequence agnostic) [54]</td>
<td>97.44 ± 0.59</td>
</tr>
<tr>
<td>ALL-HMM [54]</td>
<td>95.92 ± 0.95</td>
</tr>
<tr>
<td>Initial SLR methodology [39]</td>
<td>98.09 ± 0.59</td>
</tr>
<tr>
<td>Extended SLR methodology [42]</td>
<td>99.84 ± 0.19</td>
</tr>
</tbody>
</table>

Although the RWTH-PHOENIX dataset has been evaluated in the context of continuous SLR, no isolated SLR method has been applied yet. This reveals again one of the problems of SLR, which is the unavailability of significant experimental evaluation on the same dataset. To overcome this problem, we test both proposed SLR methodologies on the RWTH-PHOENIX dataset and present the results in Table 11.
Table 11: Experimental evaluation of proposed methodologies on the RWTH-PHOENIX dataset.

<table>
<thead>
<tr>
<th>Method</th>
<th>Accuracy (Mean ± Std)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial SLR methodology [39]</td>
<td>56.13 ± 2.33</td>
</tr>
<tr>
<td>Extended SLR methodology [42]</td>
<td>69.33 ± 1.57</td>
</tr>
</tbody>
</table>

An analysis of Table 10 and Table 11 reveals that both proposed methodologies significantly outperform all other state-of-the-art methods in the LSA64 dataset and, in fact, the extended SLR method [42] reaches an almost perfect accuracy. This reveals the power of employing several alternative feature representations and a reliable fusion scheme that can boost the performance of a classification procedure even further. Similar conclusions can be drawn from the performance of the proposed methodologies in the RWTH-PHOENIX dataset, where we observe that the use of additional features and a more appropriate fusion scheme is beneficial to the performance of a SLR algorithm.

A comparison of the performance of our extended SLR method between the two datasets can also be performed. One can observe that our method reaches an almost perfect accuracy in a balanced and large dataset (i.e., LSA64 dataset), but it achieves mediocre performance in a problematic dataset, such as the RWTH-PHOENIX. However, although the RWTH-PHOENIX dataset is not so suitable for a deep network, our SLR method does a fine job classifying it, achieving almost 70% accuracy among 50 classes. This fact reveals the discrimination power of the proposed feature representations, meta-learner and fusion schemes and it is the main reason we chose to test our SLR method in such a dataset.

Finally, Figure 20 visualises hand, body and face features extracted from frames of video sequences in the LSA64 and RWTH-PHOENIX datasets by employing the OpenPose algorithm. On the other hand, Figure 21 presents examples of image and optical features extracted by employing the VGG-16 network [43] in the RWTH-PHOENIX dataset. All these features constitute the input to our proposed SLR methodologies before the LSTM, FC and softmax units are employed for feature processing, new feature extraction and sign language classification.

Figure 20: Extracted body/hand and body/face features from LSA64 (first row) and RWTH-PHOENIX (second row) datasets as the computational complexity of detecting all three skeletal features simultaneously was too heavy for our setup.
3.6. Discussion

This deliverable presents our work during the first year of the EasyTV project regarding the tasks of feature selection and extraction for the problems of action and sign language recognition. To this end, we propose various methodologies to extract image, optical flow and skeletal features from video sequences and analysed several fusion schemes that can be employed in order to optimally fuse the information derived from the various features in order to improve the performance of action and sign language recognition methodologies.

The purpose of this research is to study the advantages and limitations of the various features that can be extracted from video sequences in order to find out which of these features can be employed to build a reliable and accurate sign language and gesture recognition system. Furthermore, we analysed various deep learning network architectures and we found out that the proposed meta-learner is beneficial to the performance of a classifier. As a result, a meta-learner can also be employed in the context of developing an accurate gesture remote control system. On the other hand, although skeletal features are usually more reliable and discriminative than image features, their extraction using OpenPose is too computationally expensive and thus inappropriate for the development of a real-time gesture recognition system. Therefore, in the case we want to employ skeletal information, we should either rely on RGB-D sensors (i.e., Kinect, ORBBEC, Intel RealSense, etc.) that can provide body, but not hand skeletal features or develop a faster version of the OpenPose algorithm. Finally, we also investigated fusion schemes in the case that we want to rely on more than one features for the task of gesture recognition. In conclusion, the knowledge acquired during the development of the proposed action and sign language recognition methodologies can be of paramount importance to the development of a gesture remote control system, as it is required in the framework of the EasyTV project.

3.7. Development of own sign language dataset

In the context of the EasyTV project, we communicated with the Centre of Greek Sign Language in order to assist us in the creation of a Greek sign language dataset. The initial recordings consisted of sentences used in an everyday dialog with doctors, police and the Customer Service Centre.
Four signers were chosen to repeat each sentence 10 times and our setup to collect data consisted of three Kinect sensors.

The purpose of these recordings was to study and analyse the type of features that can be extracted from these recordings and how much these features contribute to the development of an accurate and robust sign language recognition system. Furthermore, these recordings will form the basis for the creation of a much larger Greek sign language dataset that will become publicly available and will allow not only us, but also other researchers to improve sign language recognition results. Some frames taken from the recordings are shown in Figure 22.

Figure 22: Frames from our own Greek sign language dataset.
4. THE EASYTV GAZE RECOGNITION APPLICATION

In this section, we present a literature review of gaze recognition methodologies and we then propose a gaze recognition application that can be employed for the accurate detection of eye movements and projection of the gaze position on a screen. Such information can be employed for the development of a gaze control in the context of the EasyTV project.

4.1. State-of-the-art

Gaze recognition algorithms can be split in two main categories. The first category consists of sophisticated gaze tracking hardware setups that include commercially available sensors that use infrared illumination to achieve accurate and time efficient gaze tracking. A few representative sensors are Tobii [56], SMI [57], EyeTech [58] and Mirametrix [59]. A significant advantage of such sensors is their robustness to the presence of glasses, the eye characteristics (i.e., colour, age) and the environmental lighting. Unfortunately, a prohibitive factor for the extensive use of such specialized equipment is their significantly high cost. Lately, other gaze tracking sensors, such as the myGaze Eye Tracker [60], have been designed that are more affordable and thus they can be more easily adopted for everyday purposes.

![Figure 23: Commercial gaze tracking sensors: Tobii (up left), EyeTech (up right) and myGaze Eye Tracker (down).](image)

In order to satisfy the needs for low-cost gaze tracking and portability, the second category of gaze recognition algorithms consists of methodologies that rely on the use of a single camera and image processing techniques. Such methodologies rely on the extraction of facial features or landmarks from the person before the eye locations are identified and classified using template-based or appearance-based techniques. Wang et al. perform real-time gaze recognition by initially performing 3D face reconstruction and iris and pupil detection [61]. Similarly, Vincente et al. in [62] detects facial features that are then used for 3D head pose estimation and eye centre detection. On the other hand, Zhang et al. in [63] compute 3D head rotation and iris position by detecting facial landmarks and fitting them on a generic face model. Afterwards, they employ CNNs on eye images for gaze estimation. Gaze recognition methods that are based on image processing from single cameras are more flexible but they are sensitive to noise and occlusions and thus they usually cannot achieve the high accuracy of dedicated gaze tracking sensors.

4.2. Proposed gaze recognition

Our proposed gaze recognition methodology will be based on commercial gaze tracking sensors in order to achieve high accuracy and time efficiency for real-time applications, as is the case with the gaze remote control that should be developed in the framework of the EasyTV project. More specifically, the proposed gaze recognition application will consist of the following steps:
• **Setup:** A commercial gaze tracking sensor can be either placed directly on the head of a subject or fixed on a TV screen or computer display and connected with it using a USB cable. In the second case, the subject should be standing in a close distance to the sensor (around 60 cm) in order for the sensor to achieve accurate and robust gaze tracking.
• **Calibration:** Calibration is important so that the sensor is configured correctly for the specific subject, whose gaze should be estimated and tracked. Calibration usually requires less than a minute and it involves the fixation of the subject with his/her eyes on specific targets on the screen.
• **Gaze estimation:** A commercial gaze tracking sensor is able to provide real-time data about several aspects of the gaze recognition problem. The most important features that can be extracted are 3D eye positions and gaze data (i.e., horizontal and vertical location on the screen where each eye is fixating).
• **Gaze remote control:** The gaze data that are directly extracted from the sensor can be employed in order to identify which part of the screen a subject is looking at. Therefore, this information can be used in order to remotely control the menus on a TV set.

An example of a software that processes the gaze data, derived from a subject that is asked to look at a few images, and displays them overlaid on these images is shown in Figure 24.

![Figure 24: Visualisation of software that tracks eye fixation positions on images. The fixation positions appear as heatmaps on the images.](image-url)
5. THE EASYTV GESTURE RECOGNITION APPLICATION

In this section, we present our proposed gesture recognition application in the context of the EasyTV project. We begin by analysing the required hardware setup and then we present details on how the developed application achieves gesture recognition. The proposed gesture recognition application is able to capture the movement of the body and detect and classify a predefined set of gestures, which will then be transmitted to the TV set by means of HTML5/HbbTV protocol.

5.1. Hardware setup

The proposed gesture recognition application takes advantage of a depth sensor (shown in Figure 25) in order to accurately and robustly capture colour images, depth information and body skeleton joints that are significant for the task of gesture recognition. The limitation of depth sensors lies in the fact that their Software Development Kit (SDK) is usually developed only for personal computers (PCs) and for Windows and as a result, the proposed gesture recognition application targets only such devices. Furthermore, the PC that is used for capturing should be powerful enough so that the depth sensor is able to capture with at least the normal speed of 30 frames per second (fps).

Figure 25: A depth sensor fitted on a tripod for steady capturing.

5.2. Gesture recognition

In the context of the EasyTV project, we have developed a software application that receives input from a depth sensor, processes data and classifies body movements into a predefined set of gestures. The depth sensor outputs three types of data, namely colour images, depth images and body skeleton joints. In the developed gesture recognition application, we have the ability to not only receive and process all types of data, but also display them by selecting the appropriate button, as shown in Figure 26. Examples of displayed colour, depth and skeleton information are presented in Figure 27.
Figure 26: Selection of appropriate data stream for display in the proposed application.

Furthermore, the proposed gesture recognition application provides the ability to initially define a predefined set of gestures or modify them later. More specifically, as shown in Figure 28, by pressing the corresponding "Add new gesture" button, we are given the opportunity to select one of the gestures we want to define (shown in Figure 29) and then we can start recording the body movements that will correspond to the selected gesture. After we finish the gesture, we can press the "Stop Recording" button and the video sequence of the gesture, along with other data, will be saved on the disk either as a new gesture or in the place of an older one.
Figure 28: Software functionality for the addition of new gestures or the modification of old ones.

Figure 29: Selection of a gesture from a predefined set in order to initially define or modify it.

The training of the set of gestures in order to enable the proposed application to identify and
correctly classify gestures can be performed in one of two ways. The first way involves a well-known algorithm, called Dynamic Time Warping (DTW). DTW has the advantage of real-time classification, while it also allows on-the-fly training, meaning that a new gesture can be added at any time and the algorithm will be able to differentiate it from other gestures. This is because DTW does not require many samples per class or gesture in order to perform classification. The disadvantage of DTW is however the fact that the algorithm is not so robust to variations and therefore gestures that differ slightly can be erroneously classified. On the other hand, we also have the choice of a deep learning algorithm. The benefit of employing deep learning lies in the fact that the trained algorithm is very robust and accurate despite variations in gestures. However, such an algorithm is limited by the fact that a large number of training samples per class or gesture is required so that the deep learning algorithm is appropriately trained and thus the addition of a new gesture on-the-fly will not be possible as such an algorithm cannot learn a new gesture by a single sample. Additionally, a deep learning algorithm can be computationally expensive, posing challenges to the creation of a real-time application.

As shown in Figure 30, the proposed gesture recognition application starts by pressing the corresponding “Start” button, which initiates the depth sensor and prepares it for capturing. Respectively, the “Stop” button terminates the capturing procedure, while the “Exit” button terminates the gesture recognition application. The gesture recognition application receives the output of the depth sensor and classifies the gestures. The result of the classification procedure is shown with a graphical message inside the TV, as shown in Figure 31. Finally, Figure 32 demonstrates the way the gesture recognition application works by a few examples of performed gestures and the corresponding displayed classification results.

Figure 30: Buttons that control the functionality of the proposed gesture recognition application.
Figure 31: The result of the gesture classification procedure is displayed inside the TV box.

Figure 32: Demonstration of the gesture recognition software during the classification of a given set
of gestures. From top left to bottom right, we view gestures representing previous channel, next channel, mute sound and volume up.

5.3. Detected gestures

As far as the commands that should be interpreted with gestures are concerned, we are aware of the presence of the two following standards:


Unfortunately, there are two main reasons these standards are hard to be applied in the EasyTV project. Firstly, these standards are not publically available and can be acquired either by paying or by being in a specific network of related businesses. Secondly, we performed a research on available gesture recognition systems for TV control and we found out that there is little consistency among TV manufacturers as each manufacturer implements their own set of commands with different gestures. An example is which gesture TV manufacturers use to define the opening of the TV, as shown in Figure 33. LG asks to lift our hand near the face with the index finger pointing upwards [64]. On the other hand, Samsung asks to raise our hand and wave at the TV [65], while Sony asks to swipe our hand over their developed remote controller [66]. The dilemma that arises is whether we should conform to few guidelines or propose our own set of gestures.

In order to overcome the aforementioned problem, a predefined set of 13 commands have been selected in the context of the EasyTV project from the HbbTV protocol so that a HbbTV Companion Screen application is able to communicate with a HbbTV terminal. The proposed gesture recognition application conforms to this set of commands in order to enable its successful merging with the other EasyTV applications into a universal remote control of a TV set. However, this list of commands is not exhaustive and it can in a later phase augmented with additional commands. The set of commands are presented in Table 12 and our task is to link a specific gesture with each one of these commands so that our proposed gesture recognition application is able to accurately and robustly recognise the gestures, find the corresponding command and send this command to a HbbTV terminal using HTML5 technology (JSON messages). The gestures that correspond to these commands are selected based on the easiness of performing them, intuition behind their use and the proposed gestures of a few TV manufacturers.

Table 12: Our proposed gesture recognition application should be able to identify gestures and translate them to this set of commands.

<table>
<thead>
<tr>
<th>Set of commands</th>
<th>Request Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Navigate Left</td>
<td>Change playback position</td>
</tr>
<tr>
<td>Navigate Right</td>
<td></td>
</tr>
</tbody>
</table>

Figure 33: Proposed gestures to open TV. From left to right, LG, Samsung and Sony propositions [64][65][66].
<table>
<thead>
<tr>
<th>Gesture Remote Control Functions</th>
<th>TV Set Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Navigate Up</td>
<td>Set Volume</td>
</tr>
<tr>
<td>Navigate Down</td>
<td>Set Mute</td>
</tr>
<tr>
<td>Enter/OK</td>
<td>Play/Resume Playback</td>
</tr>
<tr>
<td>Close Play</td>
<td>Pause Playback</td>
</tr>
<tr>
<td>Request Title</td>
<td></td>
</tr>
</tbody>
</table>

5.4. Communication with TV set

As far as the communication with TV set is concerned, the proposed gesture remote control follows the HbbTV protocol that is employed for the other EasyTV applications as well. More specifically, the gesture remote control discovers HbbTV terminals on the same network and gets their websocket URLs. This means that the TV set should have a HbbTV application up and running and ready to accept messages using HTML5 technology. The gesture remote control can then connect to the websocket and start exchanging messages with the HbbTV application, as shown in Figure 34. These messages are in a JSON format and consist of commands, such as the ones defined in the previous section, that are interpreted by the HbbTV application and employed for the successful communication with the TV set.

![Communication protocol between the proposed gesture remote control and the TV set through a HbbTV application.](image)

Figure 34: Communication protocol between the proposed gesture remote control and the TV set through a HbbTV application.
6. CONCLUSIONS

In conclusion, this document describes the work done as part of the Task 3.4 of the WP3 of the EasyTV project. The goal of this task is to design and develop a gesture/gaze remote control that will be part of a universal accessible remote control. The universal remote control will allow people with disabilities to easily control their TV set. More specifically, this document presents theoretical and technical details behind the implementation of a preliminary version of the gesture/gaze remote control, as it was defined in the framework of the EasyTV project and the user requirements gathered during the first year of the project. Special attention was given for the designing of an application that will conform to specific communication protocols and set of commands in order to facilitate its integration into a universal application.

Initially, this document describes the theoretical work on action and sign language recognition, along with the publications derived from this work. In this work, we evaluate several deep learning architectures and features that can be extracted from video sequences and we come up with powerful deep learning methodologies that can take full advantage of the provided features to achieve accurate action and sign language recognition results. Such methodologies can be applied for gesture recognition as well, since gestures can be considered special cases of actions and signs. Afterwards, technical information about the gesture and gaze applications are provided, including the required hardware setup and descriptions of the main functionalities of these applications. These applications will be significantly improved and extended during the next months of the EasyTV project.
7. REFERENCES

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